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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/788,407	02/21/2001	Michael A. Brown	NC 80.124

CONFIRMATION NO. 5879

FORMALITIES LETTER



OC000000006128005

26384

NAVAL RESEARCH LABORATORY
ASSOCIATE COUNSEL (PATENTS)
CODE 1008.2
4555 OVERLOOK AVENUE, S.W.
WASHINGTON, DC 20375-5320

Date Mailed: 05/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/13/2001 SDIRETA1 00000136 500281 09788407

01 FC:105 130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Christopher J. Butkiewicz
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



H-A

SECRET

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FEE TRANSMITTAL for FY 2001		Complete if Known	
		Application Number	09/788,407
Patent fees are <i>subject to</i> annual revision,		Filing Date	February 21, 2001
		First Named Inventor	Brown
		Examiner Name	
		Group Art Unit	
TOTAL AMOUNT OF PAYMENT	\$130.00	Attorney Docket No.	N.C. 80,124

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-0281 Deposit Account Name: Naval Research Laboratory <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid	
1. BASIC FILING FEE		105 130 205 65 Surcharge - late filing fee or oath 130.00	
Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
101 710 201 355 Utility filing fee		139 130 139 130 Non-English specification	
106 320 206 160 Design filing fee		147 2,520 147 2,520 For filing a request for ex parte reexamination	
107 490 207 245 Plant filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
108 710 208 355 Reissue filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
114 150 214 75 Provisional filing fee		115 110 215 55 Extension for reply within first month	
SUBTOTAL (1) (\$)		116 390 216 195 Extension for reply within second month	
2. EXTRA CLAIM FEES		117 890 217 445 Extension for reply within third month	
Total Claims -20- = X Fee Paid		118 1,390 218 695 Extension for reply within fourth month	
Independent Claims -3- = X Fee Paid		128 1,890 228 945 Extension for reply within fifth month	
Multiple Dependent		119 310 219 155 Notice of Appeal	
Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid		120 310 220 155 Filing a brief in support of an appeal	
103 18 203 9 Claims in excess of 20		121 270 221 135 Request for oral hearing	
102 80 202 40 Independent claims in excess of 3		138 1,510 138 1,510 Petition to institute a public use proceeding	
104 270 204 135 Multiple dependent claim, if not paid		140 110 240 55 Petition to revive - unavoidable	
109 80 209 40 * Reissue independent claims over original patent		141 1,240 241 620 Petition to revive - unintentional	
110 18 210 9 Reissue claims in excess of 20 and over original patent		142 1,240 242 620 Utility issue fee (or reissue)	
SUBTOTAL (2) (\$)		143 440 243 220 Design issue fee	
**or number previously paid, if greater; For Reissues, see above		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR 1.17(c)	
		126 180 126 180 Submission of information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		Reduced by Basic Filing Fee Paid SUBTOTAL (3) 130.00	

SUBMITTED BY		Complete if applicable)	
Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182
Signature		Telephone	202-404-1552
		Date	6/1/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.